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ABSTRACT

Background: Only a handful of genetic discovery efforts in apparent treatment resistant hypertension (aTRH) have been described. Methods: We conducted a case-control genome-wide association study (GWAS) of aTRH among persons treated for hypertension, using data from 10 cohorts of European ancestry (EA) and 5 cohorts of African ancestry (AA). Cases were treated with 3 different antihypertensive medication classes and had blood pressure (BP) above goal (systolic (SBP) ≥ 140 mm Hg and/or diastolic (DBP) ≥ 90 mm Hg) or 4 or more medication classes regardless of BP control ($n_{EA} = 931$, $n_{AA} = 228$). Both a normotensive control group and a treatment-responsive control group were considered in separate analyses. Normotensive controls were untreated ($n_{EA} = 14210$, $n_{AA} = 2480$) and had SBP/DBP $< 140/90$ mm Hg. Treatment-responsive controls ($n_{EA} = 5266$, $n_{AA} = 1817$) had BP at goal ($< 140/90$ mm Hg) while treated with one antihypertensive medication class. Individual cohorts used logistic regression with adjustment for age, sex, study site and principal components for ancestry to examine the association of SNPs with case-control status. Inverse variance-weighted fixed-effects meta-analyses were carried out

1 using METAL. Results: The known hypertension locus, *CASZ1*, was a top finding among EAs
2 ($P=1.1 \times 10^{-8}$) and in the race-combined analysis ($P=1.5 \times 10^{-9}$) using the normotensive control group
3 (rs12046278 OR=0.71[95% CI 0.6-0.8]). SNPs in this locus were robustly replicated in the
4 Million Veterans Program (MVP) study in consideration of a treatment-responsive control group.
5 There were no statistically significant findings for the discovery analyses including treatment-
6 responsive controls. Conclusion: This genomic discovery effort for aTRH identified *CASZ1* as an
7 aTRH risk locus.

11 INTRODUCTION

12 Apparent treatment-resistant hypertension (aTRH) is an extreme form of hypertension
13 (HTN) characterized by the use of 4 or more antihypertensive (AHT) medication classes to achieve
14 blood pressure (BP) control. The estimated prevalence of aTRH in population-based studies is
15 between 12-15% among adults with hypertension and higher among clinic-based populations, e.g.
16 >25% in those with chronic kidney disease (CKD).^{1, 2} Risk factors for aTRH are increasing age,
17 obesity, reduced kidney function and African-American race.¹ Research shows that individuals
18 with aTRH are at an increased risk for cardiovascular disease (CVD) events when compared to
19 individuals with controlled HTN, demonstrating a need to understand the cause of nonresponse in
20 order to improve BP control.³ We hypothesized that identifying the genetic architecture may shed
21 light on distinct underlying patho-biology.

22 Published genetic studies of aTRH have reported limited findings and are lacking in
23 comparison to hypertension.⁴⁻⁷ The current study comprises European ancestry (EA) and African

ancestry (AA) studies from the *Cohorts for Heart and Aging Research in Genomic Epidemiology* (CHARGE) consortium, for a case-control study of aTRH that capitalizes on epidemiological data characterized by deep phenotyping. Common genetic variants in 931 EA aTRH cases were compared to 14210 normotensive controls and separately to 5266 treatment-responsive controls, while 228 AA aTRH cases were compared to 2480 normotensive controls and separately to 1817 treatment-responsive controls. Results were replicated in an aTRH case-control dataset from the Million Veterans Program (MVP).

METHODS

Ten studies contributed data on EA participants while 5 studies contributed data on AA participants (Supplemental File Section 1). Data on medication use were extracted by medication inventory, self-report, or computerized databases once for cohorts with cross-sectional data, or at each BP measurement for those with longitudinal data (Supplementary File Section 1). AHT medications counted towards the sum of classes are described in Supplemental Table 1. Combination products were therapeutically co-classified based on their active ingredients. All diuretics were counted as one class including potassium sparing diuretics.

Participants with conditions that may lead to secondary forms of hypertension (including eGFR <30 mL/min/1.73m² or BMI >40 kg/m²) were excluded. aTRH cases were defined as those treated with 3 AHT medication classes and BP above goal (systolic BP (SBP)≥140 mm Hg and/or diastolic BP (DBP)≥90 mm Hg) or 4 or more AHT medication classes regardless of BP control. aTRH cases fitting the above definition who were not treated by a diuretic were excluded.⁸ The analysis included two control groups: 1. *Normotensive controls*: participants not hypertensive and not treated with an AHT medication; and 2. *Treatment-responsive controls*: participants who had BP at goal (<140/90 mm Hg) on treatment with one AHT medication class. Details of the case

and control definition in cohorts with longitudinal data are described in Supplementary File Section 1.

Genome-wide single nucleotide polymorphism (SNP) genotyping was performed within each study using commercial genotyping arrays (Supplementary File Table 2). Cohorts most commonly imputed to the 1000 genomes version 3 reference panel. After imputation cohorts filtered out SNPs with imputation quality score <0.3 . SNPs with MAF $<5\%$ and which were not represented in two or more cohorts were filtered out at the meta-analysis stage.

Statistical Analysis

Logistic regression models or generalized estimating equations were used for case-control association analysis (Supplementary File Table 3). The variable of interest was SNP dosage of the effect allele. Models were adjusted for age, sex and study-specific covariates (e.g., study site, principal components for ancestry and, if applicable, exchangeable correlation matrices to account for family relatedness). For cohorts with longitudinal data, the average age across the visits included was used as the covariate. In total, there were four models, one for each control group and one for each ancestry grouping. Inverse variance-weighted, fixed-effects meta-analysis was performed for each of the four strata, using METAL software (www.sph.umich.edu/csg/abecasis/metal/). Statistical heterogeneity across studies was evaluated using Cochran's χ^2 test (Q-test). P-values $<5 \times 10^{-8}$ indicated genome-wide significant results. Results of the race-stratified analyses from METAL were then combined using a similar approach (one meta-analysis per control group). Linkage disequilibrium (LD) was evaluated using the rAggr tool (<http://raggr.usc.edu/>). Regional plots were created using Locus Zoom with a window of 500kb (v0.4.8).⁹ In a sensitivity analysis of top SNP results we conducted a meta-analysis that included only cohorts with >50 cases.

Replication

We sought replication in non-Hispanic EA (78%) and AA (22%) MVP participants (Supplemental File Section 1).^{10, 11} Participants with $\text{eGFR} \geq 60 \text{ ml/min/1.73m}^2$ were included. Total numbers of samples across ethnicities included 16,833 cases (11762 EAs and 5071 AAs) and 53,931 controls (42850 EAs and 11081 AAs). Cases were defined using the same definition as the discovery analysis. Controls were patients who achieved BP control ($<140/90 \text{ mmHg}$) on one or two medication classes. Case-control status was regressed onto additively coded genotypes imputed to 1000 Genomes phase 3 version 5, adjusting for age, age², sex, body mass index, and 10 principal components within ethnicity using SNPTEST v2.54. Genotyping, quality control (QC), and imputation procedures have been described¹⁰.

RESULTS

Overall EA and AA cases were older than controls and more likely male (Supplemental Tables 4a and 4b). The average number of AHT medication classes for EA cases ranged from 3.2 to 3.8 and from 3.3 to 3.9 for AAs. Across the individual cohort GWAS analyses, there was not excessive evidence for the deviation of P-values from their expected values (Supplementary File Table 5). Manhattan plots and QQ plots for each discovery meta-analysis are presented in Supplemental Figures 1a-d and 2a-d for the comparison of AA cases to AA normotensive controls, EA cases to EA normotensive controls, AA cases to AA treatment-responsive controls and EA cases to EA treatment-responsive controls, respectively. Meta-analysis corrected inflation that existed in the cohort-specific analyses.

The top 5 results for each case-control model are presented in Table 1. When comparing aTRH cases to normotensive controls, the top finding for AAs was rs76967376 intronic to myosin-

Vb (*MYO5B*). At that SNP, the direction of effect was consistent across each of the 5 cohorts and the odds of being a case were 2.65 [95% CI 1.9-3.8] times higher among those with the A allele versus the C allele. Among EAs, the top findings for the normotensive control comparison were intronic to castor zinc finger 1 (*CASZI*). In the race-combined analysis *CASZI* rs12046278 T carriers were less likely to be a case ($P=1.5 \times 10^{-9}$, OR=0.71[95% CI 0.63-0.80]). Another SNP within 3500 bp to DNA (cytosine-5-)-methyltransferase 3 alpha (*DNMT3A*) was associated with aTRH ($P=4.9 \times 10^{-8}$) in the race-combined analysis using normotensive controls. Regional plots (Supplemental Figures 3-5) for rs76967376 (*MYO5B*), rs12046278 (*CASZI*) and rs11674660 (near *DNMT3A*) display linkage disequilibrium support for these top findings. Results of the race-combined analysis are presented in Supplemental File Table 6 and Supplemental Figure 6.

When comparing aTRH cases to treatment-responsive controls no SNP was statistically significant after correcting for multiple testing in either racial strata. Race-combined analysis did not increase the significance of top hits. In the sensitivity analysis limiting contributing cohorts to those with >50 cases results were generally consistent with the main findings in Table 1 (Supplemental Table 7).

The MVP cases in the replication study were older (63 ± 9 vs 62 ± 10 years for EAs and 58 ± 9 vs. 56 ± 10 years for AAs) and had slightly higher BMI compared to the treatment-responsive controls. Results for AAs as well as the EAs for the treatment-responsive control group were not replicated in the MVP. However, results from the EA discovery for the normotensive control group were robustly replicated with the same direction of effect for SNPs in *CASZI* ($P < 5 \times 10^{-8}$) and the direction of association for rs11674660 intergenic to *DNMT3A*, *DTNB* was consistent in direction but not statistically significant ($P=0.09$) (Supplemental Table 8).

CONCLUSIONS

1 While the genetics of BP and essential hypertension have been extensively investigated,
2 few genetic studies have explored genes associated with less common and more severe aTRH.
3 Using data available from observational epidemiological cohort studies, the current meta-GWAS
4 study examined SNPs associated with aTRH in EA and AA cases with respect to two different
5 control sets. Our study confirmed the known BP locus, *CASZI*, as being robustly associated with
6 aTRH in the discovery and replication dataset. Other notable findings, *MYO5B* and
7 *DMNT3A/DTNB*, warrant additional replication efforts.

8 To our knowledge our top finding in the AA strata (rs76967376 in *MYO5B* involved in cell
9 trafficking and plasma membrane recycling) has been associated with lipid levels in previous
10 GWAS, but has not been associated with hypertension. The nearest published BP locus (rs745821)
11 is in the *MAK4* gene (~505kb in distance) and is not in LD with our finding ($r^2 < 0.01$).¹² At least
12 one animal model has reported *MYO5B* may regulate an atrial voltage-gated potassium channel
13 (Kv1.5) important for cardiac excitability.¹³ This result was not replicated in the MVP aTRH case-
14 control dataset. Future studies may still be warranted given the differences in the replication dataset
15 that used treatment-responsive controls with $eGFR \geq 60$ ml/min/1.73m². The top finding among
16 EAs was the known hypertension locus *CASZI*, a zinc finger transcription factor which plays a
17 key role in cardiac development and postnatal adaptation.¹⁴ The gene has been previously
18 associated with BP and hypertension in Asian ancestry and EA populations.¹⁵⁻¹⁷ The biological
19 role of *CASZI* in aTRH needs additional investigation but may be related to expression changes in
20 genes that regulate blood pressure or AHT response.¹⁸ Taken together the significant results from
21 the discovery and replication analysis suggest *CASZI* is an aTRH locus among EAs. The result
22 for the top SNP was consistent but marginally significant for AAs in CHARGE (OR=0.69[95%
23 CI 0.48-0.99];P=0.04 for the T allele). Rs880315 in *CASZI* from Table 1 was marginally

1 significant in MVP blacks (OR=1.09[95% CI 1.03-1.15];P=0.008 for the C allele). Loci near
2 *DMNT3A/DTNB* on chromosome 2 have been identified in a recent BP GWAS study (~300 kb
3 downstream of *ADCY3*) though rs11674660 from our study and previously published *ADCY3*
4 rs55701159 are not in LD ($r^2<0.01$).¹² *DMNT3A* is causal for clonal hematopoiesis of
5 indeterminate potential (CHIP), and mutations in *DMNT3A* have been associated with coronary
6 heart disease.¹⁹ The isoprenylcysteine carboxyl methyltransferase (*ICMT*) locus was the only gene
7 near a previously identified HTN gene (~15kb downstream of *RNF207* rs709209)²⁰ that we report
8 on for the treatment-responsive control group. The SNP rs11674660 near *DMNT3A/DTNB* and
9 rs146183009 in *ICMT* were not replicated in the MVP.

10 We also compared our results with published GWAS studies.^{5,6} In the electronic MEDical
11 Records & GENomics study among 3006 cases and 876 treatment-responsive controls there were
12 no statistically significant findings. In the INternational VERapamil SR Trandolapril STudy
13 GENETic Substudy, a SNP (rs12817819) in ATPase Plasma Membrane Ca²⁺ Transporting 1
14 (*ATP2B1*) was associated with aTRH in EAs and Hispanics. In our data, SNPs in *ATP2B1* were
15 most strongly associated with aTRH when cases were compared to normotensive controls [AAs
16 rs58302337 ($P=0.001$), rs12580678 ($P=0.004$); EAs rs1401982 ($P=0.006$)] versus treatment
17 responsive controls [AAs rs152754 ($P=0.01$); EAs rs34205054 ($P=0.006$)]. Differences between
18 these studies and our own include the use of clinical rather than observational populations and the
19 consideration of only controlled hypertensive patients as controls.

20 Strengths of the current study include collaboration among well-characterized CVD
21 cohorts for which BP measurement and the recording of AHT information was a focus. Further,
22 we replicated our findings in a large dataset with comparable ethnic groups. However, aTRH is
23 complex and our study had several weaknesses including lack of information on white coat

1 hypertension, adherence information, and medication dosage data which may contribute to
2 phenotypic misclassification which could dilute our results. We were unable to distinguish AHT
3 use for conditions other than hypertension such as glaucoma. Other limitations included
4 heterogeneity among study populations regarding phenotypic focus (e.g. obesity and CVD) and
5 different methods for the measurement of BP. Finally, the case-control group available for the
6 replication analysis was not identical to our discovery dataset.

7 Despite being common among persons with hypertension, little is known about the genetic
8 etiology of aTRH. In this discovery and replication effort the main finding included a transcription
9 factor and known hypertension locus involved in cardiac development (*CASZ1*). *MYO5B* and
10 *DMNT3A/DTNB* were biologically interesting cardiovascular candidates that were not replicated
11 but remain worthy of further investigation for this severe form of hypertension.

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- Bay Pines VA Healthcare System (Rachel McArdle)
- Birmingham VA Medical Center (Louis Dellitalia)
- Cincinnati VA Medical Center (John Harley)
- Clement J. Zablocki VA Medical Center (Jeffrey Whittle)
- Durham VA Medical Center (Jean Beckham)
- Edith Nourse Rogers Memorial Veterans Hospital (John Wells)
- Edward Hines, Jr. VA Medical Center (Salvador Gutierrez)
- Fayetteville VA Medical Center (Gretchen Gibson)
- VA Health Care Upstate New York (Laurence Kaminsky)
- New Mexico VA Health Care System (Gerardo Villareal)
- VA Boston Healthcare System (Scott Kinlay)
- VA Western New York Healthcare System (Junzhe Xu)
- Ralph H. Johnson VA Medical Center (Mark Hamner)
- Wm. Jennings Bryan Dorn VA Medical Center (Kathlyn Sue Haddock)
- VA North Texas Health Care System (Sujata Bhushan)
- Hampton VA Medical Center (Pran Iruvanti)
- Hunter Holmes McGuire VA Medical Center (Michael Godschalk)
- Iowa City VA Health Care System (Zuhair Ballas)
- Jack C. Montgomery VA Medical Center (Malcolm Buford)
- James A. Haley Veterans' Hospital (Stephen Mastorides)
- Louisville VA Medical Center (Jon Klein)
- Manchester VA Medical Center (Nora Ratcliffe)
- Miami VA Health Care System (Hermes Florez)
- Michael E. DeBakey VA Medical Center (Alan Swann)
- Minneapolis VA Health Care System (Maureen Murdoch)

- 1 - N. FL/S. GA Veterans Health System (Peruvemba Sriram)
- 2 - Northport VA Medical Center (Shing Shing Yeh)
- 3 - Overton Brooks VA Medical Center (Ronald Washburn)
- 4 - Philadelphia VA Medical Center (Darshana Jhala)
- 5 - Phoenix VA Health Care System (Samuel Aguayo)
- 6 - Portland VA Medical Center (David Cohen)
- 7 - Providence VA Medical Center (Satish Sharma)
- 8 - Richard Roudebush VA Medical Center (John Callaghan)
- 9 - Salem VA Medical Center (Kris Ann Oursler)
- 10 - San Francisco VA Health Care System (Mary Whooley)
- 11 - South Texas Veterans Health Care System (Sunil Ahuja)
- 12 - Southeast Louisiana Veterans Health Care System (Amparo Gutierrez)
- 13 - Southern Arizona VA Health Care System (Ronald Schiffman)
- 14 - Sioux Falls VA Health Care System (Jennifer Greco)
- 15 - St. Louis VA Health Care System (Michael Rauchman)
- 16 - Syracuse VA Medical Center (Richard Servatius)
- 17 - VA Eastern Kansas Health Care System (Mary Oehlert)
- 18 - VA Greater Los Angeles Health Care System (Agnes Wallbom)
- 19 - VA Loma Linda Healthcare System (Ronald Fernando)
- 20 - VA Long Beach Healthcare System (Timothy Morgan)
- 21 - VA Maine Healthcare System (Todd Stapley)
- 22 - VA New York Harbor Healthcare System (Scott Sherman)
- 23 - VA Pacific Islands Health Care System (Gwenevere Anderson)
- 24 - VA Palo Alto Health Care System (Philip Tsao)
- 25 - VA Pittsburgh Health Care System (Elif Sonel)
- 26 - VA Puget Sound Health Care System (Edward Boyko)
- 27 - VA Salt Lake City Health Care System (Laurence Meyer)
- 28 - VA San Diego Healthcare System (Samir Gupta)
- 29 - VA Southern Nevada Healthcare System (Joseph Fayad)
- 30 - VA Tennessee Valley Healthcare System (Adriana Hung)
- 31 - Washington DC VA Medical Center (Jack Lichy)
- 32 - W.G. (Bill) Hefner VA Medical Center (Robin Hurley)
- 33 - White River Junction VA Medical Center (Brooks Robey)
- 34 - William S. Middleton Memorial Veterans Hospital (Robert Striker)

36 **DISCLOSURE:** No conflict of interest to declare for MRI

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